



Page 1 of 2

CO Number:

402115661T002

This certifies that the premises described herein conforms substantially to the approved plans and specifications and to the requirements of all applicable laws, rules and regulations for the uses and occupancies specified. No change of use or occupancy shall be made unless a new Certificate of Occupancy is issued. This document or a copy shall be available for inspection at the building at all reasonable times.

A.	Borough: Queens	Block Number: 00034	Certificate Type: Temporary
	Address: 5-49 BORDEN AVE	Lot Number(s): 12	Effective Date: 04/08/2009
	Building Identification Number (BIN): 4866	0183	Expiration Date: 06/07/2009
		Building Type: New	
	For zoning lot metes & bounds, please see	BISWeb.	
B.	Construction classification:	I-C (1968 Code)	
	Building Occupancy Group classification: J	(1968 Code)	
	Multiple Dwelling Law Classification:	HACA	
	No. of stories: 12	leight in feet: 123	No. of dwelling units: 138
C.	Fire Protection Equipment: Standpipe system, Fire alarm system, Sprinkler	system	
D.	Type and number of open spaces: None associated with this filing.		
E.	This Certificate is issued with the following None	legal limitations:	
	Outstanding requirements for obtaining Final		
Ī	here are 25 outstanding requirements. Please re	efer to BISWeb for further detail.	
-  1	Borough Comments: None		, and

Borough Commissioner

Commissioner

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Page 2 of 2

CO Number:

402115661T002

All Buil	ding Code	occupano ar	cy group des e 1938 Build	ignations a ing Code o	re 1968 des cupancy g	signations, except RES, COM, or PUB which roup designations.
Floor From To	Maximum persons permitted	Live load lbs per	Building	Dwelling or Rooming Units	ļ	
001	8	OG	B-2		2	STORAGE REFUSE ROOMS
001	14	OG	D-2		2	MECHANICAL ROOMS
001	12	OG	J-2		2	LOBBY, LOUNGE
001	8	0G	F-3		2	GYM
001	<del></del>	og	J-2	1	2	ONE (1) APARTMENT
02 008		40	J-2	13	2	THIRTEEN (13) APARTMENTS ON EACH FLOOR
09 012	J	40	J-2	10	2	TEN (10) APARTMENTS ON EACH FLOOR
OF	1	100	J-2		2	TENANT RECREATION AREA (26 CABANAS)

Borough Commissioner

END OF DOCUMENT

Commissioner

402115661/002 4/8/2009 1:41:53 PM



Page 1 of 2

**CO Number:** 

402115661T001

This certifies that the premises described herein conforms substantially to the approved plans and specifications and to the requirements of all applicable laws, rules and regulations for the uses and occupancies specified. No change of use or occupancy shall be made unless a new Certificate of Occupancy is issued. This document or a copy shall be available for inspection at the building at all reasonable times.

Α.	Borough: Queens Address: 5-49 BORDEN AVE Building Identification Number (BIN): 4860183	Block Number: 00034 Certificate Type: Temporary Lot Number(s): 12 Effective Date: 02/17/2009 Expiration Date: 04/18/2009 Building Type: New
B.	For zoning lot metes & bounds, please see BISWeb.	
В.	Construction classification: 1-C	(1968 Code)
ł	Building Occupancy Group classification: J2	(1968 Code)
	Multiple Dwelling Law Classification: HACA	
	No. of stories: 12 Height in	feet: 123 No. of dwelling units: 138
C.	Fire Protection Equipment: Standpipe system, Fire alarm system, Sprinkler system	
D.	Type and number of open spaces: None associated with this filing.	
E.	This Certificate is issued with the following legal lim None	itations:
[	Outstanding requirements for obtaining Final Certification	ate of Occupancy:
	here are 24 outstanding requirements. Please refer to BI	
E	Borough Comments:	
T F	HIS TEMP CERTIFICATE OF OCCUPANY IS FOR FLO LOOR,	ORS 1-11 AND ROOF ONLY, EXCLUDING THE ENTIRE 12TH

Borough Commissioner

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Commissioner

DOCUMENT CONTINUES ON NEXT PAGE



Page 2 of 2

CO Number:

402115661T001

			Perm	nissible U	se and Oc	eupancy
All Bui	lding Code	occupan	y group des	ignations a	re 1968 de	signations except DES COM AND UD
Floor From To	Maximum persons	Live load	e 1938 Build Building Code occupancy group	Dwelling of Rooming Units	cupancy g	roup designations.
001	8	og	B-2	.L,	2	STORAGE REFUSE ROOMS
001	14	OG	D-2		2	MECHANICAL ROOMS
001	12	OG	J-2	<del></del>	2	LOBBY, LOUNGE
001	8	ŌĠ	F-3	`	2	GYM
001	<del></del>	OG	J-2	1 .	2	ONE (1) APARTMENT
001	<del></del>	OG	B-2		2	25 ACCESSORY PARKING SPACES
002 008	•	40	J-2	13	2	THIRTEEN (13) APARTMENTS ON EACH FLOOR
09 012		10	J-2	10	2	TEN (10) APARTMENTS ON EACH FLOOR
OF	<u>-</u> 1	100	J-2		2 .	TENANT RECREATION AREA (26 CABANAS)
				END OF	PEGTION	

Borough Commissioner

END OF DOCUMENT

Commissioner

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Ex B



Page 1 of 3

CO Number:

402437065T001

This certifies that the premises described herein conforms substantially to the approved plans and specifications and to the requirements of all applicable laws, rules and regulations for the uses and occupancies specified. No change of use or occupancy shall be made unless a new Certificate of Occupancy is issued.

This document or a copy shall be available for inspection at the building at all reasonable times.

A.	Borough: Queens Address: 48-15 11 STREET Building Identification Number (BIN): 4862699	Block Number: 000 Lot Number(s): 80 Building Type: Ne		Certificate Type: Effective Date: Expiration Date:	03/12/2009
	For zoning lot metes & bounds, please see BISWeb.	-			
B.	Construction classification: 1-D	(1968 C	ode)		
	Building Occupancy Group classification: J2	(1968 C	ode)		
	Multiple Dwelling Law Classification: HAEA				
	No. of stories: 12 Height in	feet: 125		No. of dwelling units	72
C.	Fire Protection Equipment: None associated with this filing.			——————————————————————————————————————	
D.	Type and number of open spaces: Parking spaces (37), Parking (14403 square feet)	·			
E.	This Certificate is issued with the following legal lim None	itations:			
	Outstanding requirements for obtaining Final Certific	ate of Occupancy:			
	There are 24 outstanding requirements. Please refer to BIS				
Ĺ	Borough Comments: None				273. 25. (2727. 2

Borough Commissioner

Commissioner

DOCUMENT CONTINUES ON NEXT PAGE



Page 2 of 3

CO Number:

402437065T001

			Perm	iissible Us	e and Oc	cupancy
All Buil	ding Code	оссиран	y group des	ignations at	'è 1968 des	signations, except RES, COM, or PUB which
	33	İ	Building			roup designations.
Floor From To	persons permitted	Live load lbs per sq. ft.	Code occupancy group	Dwelling or Rooming Units	Zoning use group	Description of use
CEL	······································	L	J-2		2B	ACCESSORY (22) PARKING SPACES
CEL			D-2	e deleganismo del deservo anticachos accomosidos	28	MECHANICAL ROOM
CEL		OG	B-2		28	TENANT STORAGE,
OSP		100	J-2		2B	OUTSIDE RECREATION AREA
001	<del></del>	40	J-2	<del> </del>	2B	ACCESSORY (15) PARKING SPACES
001		40	J-2	and the second section of the section of the second section of the section of the second section of the section of th	2B	ACCESSORY EXERCISE ROOM
001		40	J-2	وسندو والمقدولة والمراورة المراورة المر	2B	LOBBY, LOUNGE
002	4	40	J-2	7	2	(7) CLASS 'A' APARTMENTS
03		10	J-2	7	2	(7) CLASS 'A' APARTMENTS
04	4	10	J-2	7	2	(7) CLASS 'A' APARTMENTS
	4	0	J-2	7	2	(7) CLASS 'A' APARTMENTS
06	4	0	J-2	7 2	2	(7) CLASS A APARTMENTS
77	. 4	0	J-2	7 2	2	(7) CLASS 'A' APARTMENTS

Borough Commissioner

Commissioner

DOCUMENT CONTINUES ON NEXT PAGE



Page 3 of 3

CO Number:

402437065T001

			<del></del>			
	····			issible Us		
All Build	ding Code	occupane ar	cy group des e 1938 Build	ignations a	e 1968 des cupancy g	signations, except RES, COM, or PUB which roup designations.
Floor From To	Maximum persons permitted	Live load lbs per	Building Code occupancy group	Dwelling or Rooming Units		-
800		40	J-2	6	2	(6) CLASS 'A' APARTMENTS
009	*****************	40	J-2	6	2	(6) CLASS A APTS.
010	<u></u>	40	J-2	6	2	(6) CLASS A APTS.
011		40	J-2	6	2	(6) CLASS A APTS.
012	<del></del>	40	J-2	6	2	(6) CLASS A APTS.
ROF	31	100	J-2		2	TENANT RECREATION SPACE (15 CABANAS) 31 MAX OCCUPANTS.
ROF				······	-	MECHANICAL & ELEVATOR ROOMS
				· /		
				END OF	SECTION	

Borough Commissioner

END OF DOCUMENT

Commissioner

402437065/001 3/12/2009 10:10:37 AM

PK C

B-SCAN List of Required Items

NYC Department of Buildings

always apen

X CLOCK HERE TO SIGN UP FOR BUTEDINGS NEWS

Job No: 402115661

B-SCAN List of Required Items

Premises: 5-49 BORDEN AVENUE QUEENS

BIN: 4860183 Block: 34 Lot: 1

Job Type: NB - NEW BUILDING	WHO PRI REQUIRED RECEIVED DATE W RECV* TO DATE CERTIFIED V			
Job Typ	PRI REQUIRED RE TO DATE	N SGN 04/20/2005	N SGN 04/20/2005	N SGN 04/20/2005
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SCEIVED DATE W									<b>F</b>			,	1	]	الماران									
D RECE DA		١				, ,		•													ļ			
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PRI * TO	S	SG	SGN	SGN	SGN	SG	SG	SGN	SGN	SGN	0.	200	3 6		5 6	200	0 0	5 0	9 6	5	SGN	SGS	SGN	SGN
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25 OPEN ITEMS OF 91 REQUIRED FOR JOB ADDRESS: NEW HOUSE NUMBER APPROVED	CERTIFICATE OF OCCUPANCY	OFFINALER (SP) SIGNOFF	O MINDFIFE (OD) SIGNOFF	ALEVATOR JOB SIGNOPT	MECHANICAL (MH) SIGNOFF	CORB COL (CC) SIGNOFF	EQUITION OF PERMITS	SITE SUKVEY; FINAL	BOILER (BL) SIGNOFF	BPP: FINAL SIGNOFF	MASONRY SUPPLIER'S STATEMENT-FORM 10J	FINAL PLUMBING SIGNOFF	FINAL ELEVATOR SIGNOFF	FINAL ELECTRICAL SIGNOFF	FINAL CONST, SIGNOFF	VERIFY TAX LOT	CO OBJ: VERIFY ADDRESS-TOPO STAMP	VIOLATIONS SEARCH	OPEN APPLICATIONS SEARCH	FOLDER REVIEW		THE CHAIN OF THE APPLICATIONS		3-8" FENCE IN CITY WIDENING STREET, OBTAIN REVOKABLE CONSENT

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HP000136

FROM D.O.T.

TCO NOT ISSUED AS STATED ABOVE

(\*) N  $\approx$  Can be received by clerical staff; T  $\approx$  Can only be received by a plan examiner SGN 04/08/2009

Some items may not be required for your specific work filing.

Enter Criteria for Required Item Display

Display Items Required Prior To:

☑ Approval

Y Signoff ✓ Permit

Which frems Should Be Displayed?

Open Items Only Redisplay O All Items

If you have any questions please review these <u>Frequently Asked Questions,</u> the <u>Glossary,</u> or call the 311 Citizen Service Center by dialing 311 or (212) NEW YORK outside of New York City.

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HP000137

Ex D

This plan has been amended. See inside

## CONDOMINIUM OFFERING PLA

FOR

## ONE HUNTERS POINT CONDOMINIUM

Located At

5-49 Borden Avenue Long Island City, Queens County, New York

The Condominium will be comprised of 132 residential units, 26 roof terrace units and 25 parking space. All units are being offered for sale pursuant to this Offering Plan.

Total Amount of Offering: 132 residential units: \$84,005,700.00 26 roof terrace units: \$ 916,750,00 25 parking space units: \$ 1,120,000.00 Total \_\_\_\_\_\_\_\_\$86,042,450.00

SPONSOR:

Borden East River Realty c/o Simone Development Companies 1000 Main Street New Rochelle, New York 10801

### SELLING AGENT:

Brown Harris Stevens Project Marketing 675 Third Avenue (Suite 411) New York, New York 10017

SPONSOR'S ATTORNEY: Ruskin Moscou Faltischek, P.C. 1425 RexCorp Plaza East Tower, 15th Floor Uniondale, New York 11556

Date of Acceptance for Filing: September 11, 2007.

This Offering Plan Will Be Effective For Twelve (12) Months From The Above Date. The Term May Be Extended By An Amendment To The Offering Plan.

### SEE PAGE (1) FOR SPECIAL RISKS TO PURCHASERS.

BECAUSE SPONSOR IS RETAINING THE UNCONDITIONAL RIGHT TO RENT RATHER THAN SELL UNITS, THIS PLAN MAY NOT RESULT IN THE CREATION OF A CONDOMINIUM IN WHICH A MAJORITY OF THE UNITS ARE OWNED BY OWNER-OCCUPANTS OR INVESTORS UNRELATED TO SPONSOR. (SEE SPECIAL RISKS SECTION OF THE PLAN.)

PURCHASERS FOR THEIR OWN OCCUPANCY MAY NEVER GAIN CONTROL OF THE BOARD OF MANAGERS UNDER THE TERMS OF THIS OFFERING PLAN. (SEE SPECIAL RISKS SECTION OF THE PLAN.)

THIS OFFERING PLAN IS THE ENTIRE OFFER TO SELL THESE CONDOMINIUM UNITS. NEW YORK LAW REQUIRES THE SPONSOR TO DISCLOSE ALL MATERIAL INFORMATION IN THIS OFFERING PLAN AND TO FILE THIS OFFERING PLAN WITH THE NEW YORK STATE OFFICE OF THE ATTORNEY GENERAL PRIOR TO SELLING OR OFFERING TO SELL ANY CONDOMINIUM UNIT. FILING WITH THE OFFICE OF THE ATTORNEY GENERAL DOES NOT MEAN THAT THE DEPARTMENT OR ANY OTHER GOVERNMENT AGENCY APPROVED THIS OFFERING.



proceed under any assignable warranties and other undertakings received by Sponsor from contractors, suppliers, or others in connection with the construction and equipping of the Building, except that warranties and undertakings received by Sponsor which relate to appliances, equipment or fixtures located in any Unit shall be assigned to Purchaser of such Unit on the date of closing of title thereto including the warranties and undertakings received by Sponsor which relate to appliances, equipment, or fixtures located in the Units and the Common Elements. Sponsor makes no representation as to which, if any, of the warranties and other undertakings will continue to remain in force upon the Closing of any particular Unit.

- The Sponsor will obtain a permanent certificate of occupancy for the Building. If, as of the First Closing, only a temporary certificate of occupancy has been issued for the Building, Sponsor will use all reasonable diligence to cause the Buildings Department to continuously renew the temporary certificate of occupancy until a permanent certificate of occupancy for the Building, including all of the Units in the Building, has been issued. Sponsor will, at its sole cost and expense, do and perform all work, and will supply for the Building all materials that shall be necessary in order to cause the temporary certificate of occupancy to be continuously renewed and to obtain a permanent certificate of occupancy. In the event a permanent certificate of occupancy is not issued as of the date of closing of a Unit, Sponsor anticipates obtaining the permanent certificate of occupancy within two (2) years of the closing of a Unit. Article 5, Section 2 of the By-Laws prohibits Unit Owners from making any alterations in or to a Unit prior to the issuance of a permanent certificate of occupancy, unless the Sponsor consents to such work, and authorizes the Sponsor to halt any unauthorized work. If there are delays in issuance of a permanent certificate of occupancy arising from unauthorized alterations, Sponsor shall remain responsible for obtaining the permanent certificate of occupancy, but may have a cause of action against the party or parties performing such alterations. Prospective Purchasers are advised that a permanent certificate of occupancy is required for permanent use of the Units in the Building, and that a temporary certificate of occupancy may be renewed only for a total of 2 years from the date of first issuance.
- (h) If Sponsor fails to obtain a permanent certificate of occupancy for the Building prior to the First Closing, Sponsor will be obligated to: (i) direct the Escrow Agent to hold and maintain those monies received pursuant to all Purchase Agreements (see the Section of the Plan entitled "Escrow and Trust Fund Requirements") which would otherwise be payable to Sponsor in the special trust account required by the General Business Law of the State of New York, Sections 352-e(2)(b) and 352(h), provided, however, that if Sponsor's Architect certifies that a lesser amount than the amount held in the special trust account is reasonably necessary to complete the work needed to obtain a permanent certificate of occupancy, then the amount exceeding the sum so certified by the Sponsor's Architect shall be released from the special escrow account to Sponsor; or (ii) Sponsor shall deposit with Escrow Agent cash or an unconditional, irrevocable letter of credit or post a surety bond in an amount Sponsor's Architect, from time to time, certifies is reasonably necessary to complete the work needed to obtain the permanent certificate of occupancy.

EX E

Ruskin Moscou Faluischek P.C. Borden Enst. Afver Realty LLC Histonel L. Estlischek AKSP. RIR. Vlera Uniondele NY. 11456

2816, 0514, 2814, 0520, 2520, 051, 2821, 0522, 2822, 0530, 250, 0510, 2550, 0500, 2500, 0510, 2550, 0500, 2500, 0510, 2550, 0500, 2500, 0510, 2550, 2550, 25

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ACCOUNT OFFICE 10030

INVESTS:

ACCOUNT OFFICE 10030

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Ex F

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B-SCAN List of Required Items NYC Department of Buildings

-	
Job No: 402437065	Premises: 48-15 11 STREET QUEENS BIN: 4862699 · Block: 61 Lot: 80
WHO PRI REQUIRED RECEIVED DATE RECV* TO DATE SATE	24 OPEN ITEMS OF 66 REQUIRED FOR JOB
PEB 08791/2006	* DEMOLITION (DM) JOB SIGNOFF
	CERTIFICATE OF OCCUPANCY
	SPRINKLER (SP) SIGNOFF
250	STANDEDE (SD) SCHOOLE
N SGN 08/21/2006	
N SGN 08/21/2006	CORB CO! (CC) SIGNOFF
N SGN 08/21/2006	DETECTOR:SMOKE-CERT. OF INSTALLATION
_	SITE SURVEY: FINAL
-	PLUMBING (PL) SIGNOFF
_	BOILER (BL) SIGNOFF
	CONSTRUCTION (OT) SIGNOFF
	THO?
N SGN 08/21/2006	M) JOB SIGNOFF
NOS +	/AL:900A
Z O O	BON MONOXIDE-CERT.OF INSTALL
	MASONRY SUPPLIER'S STATEMENT-FORM 10J
	FINAL PLUMBING SIGNOFF
	FINAL ELECTRICAL SIGNOFF
	FINAL CONST. SIGNOFF
_	VERIFY TAX LOT
NOS	VIOLATIONS SEARCH
7 7 C	OPEN APPLICATIONS SEARCH
	FOLDER REVIEW
SGN	MECHANICAL (MH) SIGNOFF
SGN	CURB CUT # REQUIRED
	CONSTRUCTION (OT) SIGNOFF BPP: FINAL SIGNOFF DEMOLITION (DM) JOB SIGNOFF BOILER APPROVAL: 900A BOILER APPROVAL: 900A DETECTOR: CARBON MONOXIDE-CERT. OF INSTALL MASONRY SUPPLIER'S STATEMENT-FORM 10J FINAL PLUMBING SIGNOFF FINAL CONST. SIGNOFF FINAL CONST. SIGNOFF VICILATIONS SEARCH OPEN APPLICATIONS SEARCH FOLDER REVIEW MECHANICAL (MH) SIGNOFF CURB CUT # REQUIRED

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B-SCAN List of Required Items

(\*) N ≈ Can be received by clerical staff; T = Can only be received by a plan examiner Some items may not be required for your specific work filing.

Enter Criteria for Required Item Display

Display Items Required Prior To:

Which Items Should Be Displayed?

✓ Approval

N Permit

Signoff

© Open Items Only All Items

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Ex Gi

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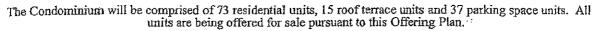
## CONDOMINIUM OFFERING P.

FOR

## **HUNTERS VIEW CONDOMINIUM**

Located At

48-15 11th Street Long Island City, Queens County, New York



Total Amount of Offering: 73 residential units: \$45,318,757.00

15 roof terrace units: \$ 513,000.00 37 parking space units: \$ 1,655,000.00

SPONSOR: 11/49 Realty c/o Simone Development Companies 1000 Main Street New Rochelle, New York 10801

#### SELLING AGENT:

Brown Harris Stevens Project Marketing 675 Third Avenue (Suite 411) New York, New York 10017

SPONSOR'S ATTORNEY: Ruskin Moscou Faltischek, P.C. 1425 RexCorp Plaza East Tower, 15th Floor

Uniondale, New York 11556

Date of Acceptance for Filing: September 11, 2007.

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An Amendment To The Offering Plan.

### SEE PAGE (1) FOR SPECIAL RISKS TO PURCHASERS.

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PURCHASERS FOR THEIR OWN OCCUPANCY MAY NEVER GAIN CONTROL OF THE BOARD OF MANAGERS UNDER THE TERMS OF THIS OFFERING PLAN. (SEE SPECIAL RISKS SECTION OF THE PLAN.)

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Architect, from time to time, certifies is reasonably necessary to complete the work needed to obtain the permanent certificate of occupancy.

- (i) Construction is a complicated process requiring the coordination of numerous tasks and the balancing of complex mechanical and architectural systems. During the first year of condominium operation, construction workers and related personnel will be at the Building from time to time making adjustments and performing various tasks relating to the completion of construction. Various systems, including but not limited to water supply, heating ventilating and air conditioning and elevators, may require substantial time after any Closing to complete and may need to be shut down temporarily. Various other adjustments to windows, the elevator and other systems may require substantial time after the Closing to be completed.
- (j) Sponsor has no obligation to repair or improve the Unsold Units, the Common Elements or the Facilities used in connection with the operation of the Building except as otherwise expressly provided in the Plan. Sponsor agrees to complete construction and to cause all mechanic's liens with respect to the construction of the Building to be promptly discharged or bonded (all subject to Sponsor's rights to abandon the Plan).
- (k) Each Unit and the fixtures and personal property contained therein, are being sold and delivered "AS IS", as described in the Plan, at the time of transfer of title to such Unit unless Sponsor and the Purchaser of such Unit otherwise agree in writing. The Purchaser of a Unit shall inspect such Unit prior to the Closing Date and shall execute at such time an Inspection Statement acknowledging the Purchaser's acceptance of the Unit in good condition and in accordance with the terms of the Plan. However, if a Purchaser finds that Sponsor's improvements as described in the Plan or in the Purchase Agreement for such Unit or other writing duly executed and delivered by Sponsor, have not been fully completed, although such improvements have been substantially completed, then Sponsor or its designated representative and the Purchaser will at the time of such execution agree upon and set forth in the Inspection Statement a list of the incomplete work to be completed in the Unit by Sponsor following the Closing for such Unit. Sponsor's obligation thereunder shall survive delivery of the deed to the Purchaser. The failure of Sponsor to complete such work shall not be a ground for the Purchaser to delay the closing. Sponsor and its contractors, subcontractors agents and employees, will have a right of access to enter the Unit after closing in order to complete the work on the Inspection Statement. The issuance of a certification from a registered architect or licensed engineer shall be presumptive evidence that a particular Unit or Sponsor's improvements with respect to the Common Elements, as applicable, has been substantially completed.
- (I) Article 36-B of the New York General Business Law ("Housing Merchant Implied Warranty Law") does not apply to this offering. Sponsor will correct, repair, or replace any and all defects relating to construction of the Building, or in the installation or

proceed under any assignable warranties and other undertakings received by Sponsor from contractors, suppliers, or others in connection with the construction and equipping of the Building, except that warranties and undertakings received by Sponsor which relate to appliances, equipment or fixtures located in any Unit shall be assigned to Purchaser of such Unit on the date of closing of title thereto including the warranties and undertakings received by Sponsor which relate to appliances, equipment, or fixtures located in the Units and the Common Elements. Sponsor makes no representation as to which, if any, of the warranties and other undertakings will continue to remain in force upon the Closing of any particular Unit.

- The Sponsor will obtain a permanent certificate of occupancy for the Building. If, as of the First Closing, only a temporary certificate of occupancy has been issued for the Building, Sponsor will use all reasonable diligence to cause the Buildings Department to continuously renew the temporary certificate of occupancy until a permanent certificate of occupancy for the Building, including all of the Units in the Building, has been issued. Sponsor will, at its sole cost and expense, do and perform all work, and will supply for the Building all materials that shall be necessary in order to cause the temporary certificate of occupancy to be continuously renewed and to obtain a permanent certificate of occupancy. In the event a permanent certificate of occupancy is not issued as of the date of closing of a Unit, Sponsor anticipates obtaining the permanent certificate of occupancy within two (2) years of the closing of a Unit. Article 5, Section 2 of the By-Laws prohibits Unit Owners from making any alterations in or to a Unit prior to the issuance of a permanent certificate of occupancy, unless the Sponsor consents to such work, and authorizes the Sponsor to halt any unauthorized work. If there are delays in issuance of a permanent certificate of occupancy arising from unauthorized alterations, Sponsor shall remain responsible for obtaining the permanent certificate of occupancy, but may have a cause of action against the party or parties performing such alterations. Prospective Purchasers are advised that a permanent certificate of occupancy is required for permanent use of the Units in the Building, and that a temporary certificate of occupancy may be renewed only for a total of two (2) years from the date of first issuance.
- (h) If Sponsor fails to obtain a permanent certificate of occupancy for the Building prior to the First Closing, Sponsor will be obligated to: (i) direct the Escrow Agent to hold and maintain those monies received pursuant to all Purchase Agreements (see the Section of the Plan entitled "Escrow and Trust Fund Requirements") which would otherwise be payable to Sponsor in the special trust account required by the General Business Law of the State of New York, Sections 352-e(2)(b) and 352(h), provided, however, that if Sponsor's Architect certifies that a lesser amount than the amount held in the special trust account is reasonably necessary to complete the work needed to obtain a permanent certificate of occupancy, then the amount exceeding the sum so certified by the Sponsor's Architect shall be released from the special escrow account to Sponsor; or (ii) Sponsor shall deposit with Escrow Agent cash or an unconditional, irrevocable letter of credit or post a surety bond in an amount Sponsor's

Ex H

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Ex I



## ARCHITECTURE . URBAN PLANNING . ENGINEERING

April 10, 2009

Mr. William Thomas
U.S. Department of Housing and Urban Development
Office of RESPA and Interstate Land Sales
451 Seventh Street, SW, Room 9154
Washington, DC 20410

Re: ILS 32438 and 32439, Borden East River Realty LLC, 11/49 Realty LLC, and Simone Development

Company, L.L.C., One Hunters Point Condominium, I-09-096; Hunters View Condominium, I-09-07

Dear Mr. Thomas:

My firm, NDG Architect, P.C., was retained and continues to represent Borden East River Realty LLC ("Borden"), the developer and sponsor of a twelve story high rise condominium project located at 5-49 Borden Avenue, Long Island City, Queens, New York (the "Hunters Point Project") and 11/49 Realty LLC ("11/49"), the developer of a twelve story high rise condominium project located at 48-15 11th Street, Long Island City, Queens, New York (the "Hunters View Project").

On February 17, 2009, a temporary certificate of occupancy ("TCO") was issued for the Hunters Point Project, a copy of which is attached hereto as Exhibit 1. Also attached hereto as Exhibit 2 is a copy of the current renewal of that TCO issued on April 8, 2009. As set forth on the renewal TCO there are twenty-five (25) outstanding requirements for the issuance of the permanent certificate of occupancy ("PCO") for the Hunters Point Project (see attached Exhibit 3).

On March 12, 2009, a TCO was issued for the Hunters View Project, a copy of which is attached hereto as Exhibit 4. As set forth on this TCO, there are twenty-four (24) outstanding requirements for the issuance of the PCO for the Hunters View Project (see attached Exhibit 5).



Mr. William Thomas

Premises:

One Hunters Point Condominium, I-09-096

Hunters View Condominium, I-09-97

April 10, 2009

Page 2

Pursuant to the Zoning Resolution of the City of New York, as amended, and the applicable Building Codes of the State and City of New York, all of the Units listed on the TCO for each Project are physically habitable and may legally be occupied for private residential use which is the intended use. None of the listed outstanding requirements for each Project prevent this occupancy and none of them effect the safety of either project for occupancy. In New York City, occupancy of a new residential building typically occurs pursuant to a TCO with the PCO obtained after the building is occupied. The existence of such outstanding requirements prior to obtaining a PCO are not unusual for this type of construction in the City of New York.

As the architects for the Projects, we hereby represent that Borden and 11/49 are presently addressing all outstanding requirements for the issuance of the PCO of each Project. Furthermore, the escrowed amounts set forth in the attached certifications of our firm issued on March 5th and 19th, 2009 respectively (see Exhibit 6) are more than sufficient to secure the issuance of each PCO.

Very truly yours,

NDG Architects, P.C.

Mitchell D. Newman, A.I.A., President

Borden East River Realty LLC

11/49 Realty LLC

cc:

D'Agostino, Levine & Landesman, LLP

Ex 1



Page 1 of 2

CO Number:

402115661T001

This certifies that the premises described herein conforms substantially to the approved plans and specifications and to the requirements of all applicable laws, rules and regulations for the uses and occupancies specified. No change of use or occupancy shall be made unless a new Certificate of Occupancy is issued. This document or a copy shall be available for inspection at the building at all reasonable times.

A.	Borough: Queens Address: 5-49 BORDEN AVE Building Identification Number (BIN): 4860183	Block Number: 00034 Certificate Type: Temporary Lot Number(s): 12 Effective Date: 02/17/2009 Expiration Date: 04/18/2009 Building Type: New	ļ					
	For zoning lot metes & bounds, please see BISW	eb.	-					
В.	Construction classification: 1-C	(1968 Code)						
	Building Occupancy Group classification: J2	(1968 Code)						
	Multiple Dweiling Law Classification: HACA							
	No. of stories: 12 Heigh	in feet: 123 No. of dwelling units: 138						
C.	Fire Protection Equipment: Standpipe system, Fire alarm system, Sprinkler system							
D.	Type and number of open spaces: None associated with this filing.							
E.	This Certificate is issued with the following legal None	limitations:						
[	Outstanding requirements for obtaining Final Certificate of Occupancy:							
Ī	There are 24 outstanding requirements. Please refer to	BISWeb for further detail.	]					
	Borough Comments:							
	THIS TEMP CERTIFICATE OF OCCUPANY IS FOR I FLOOR,	LOORS 1-11 AND ROOF ONLY, EXCLUDING THE ENTIRE 12TH	ļ					

Borough Commissioner

Commissioner

DOCUMENT CONTINUES ON NEXT PAGE



Page 2 of 2

CO Number:

402115661T001

			· · · · · ·	Perm	issible Us	e and Oc	cupancy
All	3uild	ling Code					signations, except RES, COM, or PUB which roup designations.
Floor From		Maximum persons permitted	ibs per	Building Code occupancy group	Dwelling or Rooming Units	Zoning use group	Description of use
001		8	OG	B-2		2	STORAGE REFUSE ROOMS
001		14	og	D-2		2	MECHANICAL ROOMS
001		12	OG	J-2		2 ·	LOBBY, LOUNGE
001		8	0G	F-3		2	GYM
001			OG	J-2	1	2	ONE (1) APARTMENT
001			OG	B-2	41	2	25 ACCESSORY PARKING SPACES
002	800	**************************************	40	J-2	13	2	THIRTEEN (13) APARTMENTS ON EACH FLOOR
009	012		40	J-2	10	2	TEN (10) APARTMENTS ON EACH FLOOR
ROF			100	J-2	<u> </u>	2	TENANT RECREATION AREA (26 CABANAS)
					END OF	SECTION	

Borough Commissioner

END OF DOCUMENT

Commissioner

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Ex 2



Page 1 of 2

CO Number:

402115661T002

This certifies that the premises described herein conforms substantially to the approved plans and specifications and to the requirements of all applicable laws, rules and regulations for the uses and occupancies specified. No change of use or occupancy shall be made unless a new Certificate of Occupancy is issued. This document or a copy shall be available for inspection at the building at all reasonable times.

Α.	Borough: Queens Address: 5-49 BORDEN AVE Building Identification Number (BIN): 48	60183	Block Number: Lot Number(s): Building Type:	00034 12 New	Certificate Type: Effective Date: Expiration Date:	04/08/2009
	For zoning lot metes & bounds, please se	e BISWeb.	<del></del>			
В.	Construction classification:	1-C	(1	968 Code)		
	Building Occupancy Group classification:	J2	(1	968 Code)		ļ
	Multiple Dwelling Law Classification:	HACA				Ì
Ì	No. of stories: 12	Height in	feet: 123		No. of dwelling unit	s: 138
c.	Fire Protection Equipment: Standpipe system, Fire alarm system, Sprinkle	er system				
D.	Type and number of open spaces: None associated with this filing.		Profession and American and American		<u></u>	
E.	This Certificate is issued with the following None	g legal limi	tations:			,
Ĺ						
ļ.	Outstanding requirements for obtaining Fin					
þ	There are 25 outstanding requirements. Please refer to BISWeb for further detail.					
	Borough Comments: None					

Borough Commissioner

TOW

Commissioner

DOCUMENT CONTINUES ON NEXT PAGE



Page 2 of 2

CO Number:

402115661T002

			Perm	issible Us	e and Oc	cupancy
All Bu	ilding Code	occupant	cy group des	ignations a	re 1968 des	signations, except RES, COM, or PUB which
Floor From To	persons	Live load lbs per	Building	Dwelling or Rooming Units		roup designations.  Description of use
001	8	OG	B-2	See tukuru yan kanara te ya ya kuna ya	2	STORAGE REFUSE ROOMS
001	14	OG	D-2		2	MECHANICAL ROOMS
001	12	og	J-2		2	LOBBY, LOUNGE
001	8	OG	F-3		2	GYM
001	<del></del>	OG	J-2	1	2	ONE (1) APARTMENT
002 00	3	40	J-2	13	2	THIRTEEN (13) APARTMENTS ON EACH FLOOR
009 01:	2 .	40	J-2	10	2	TEN (10) APARTMENTS ON EACH FLOOR
ROF		100	J-2	*****	2	TENANT RECREATION AREA (26 CABANAS)
				FND OF	SECTION	

Borough Commissioner

END OF DOCUMENT

Commissioner

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Ex 3



· NYC Department of Buildings

B-SCAN List of Required Items Premises: 5-49 BORDEN AVENUE QUEENS BIN: 4860183 Block: 34 Lot: 1

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# B-SCAN List of Required Items

FROM D.O.T.

TCO NOT ISSUED AS STATED ABOVE

(\*) N = Can be received by clerical staff; T = Can only be received by a plan examiner

SGN 04/08/2009

Some items may not be required for your specific work filing.

Enter Criteria for Required Item Display

Display Items Required Prior To:

Approval

✓ Permit

Signoff

Fedisplay

Which ferms Should Be Displayed? Open Items Only O All Items

If you have any questions please review these Frequentiv Asked Questions, the Glossary, or call the 311 Citizen Service Center by dialing 311 or (212) NEW YORK outside of New York City.

http://a810-bisweb.nyc.gov/bisweb/BScanItemsRequiredServlet?rqidpriortoa=on&rqidpriortop=on&rqiditemstatus=open&rqidpr...

4/8/2009

HP000164

Ex 4



# Certificate of Occupancy

Page 1 of 3

CO Number:

402437065T001

This certifies that the premises described herein conforms substantially to the approved plans and specifications and to the requirements of all applicable laws, rules and regulations for the uses and occupancies specified. No change of use or occupancy shall be made unless a new Certificate of Occupancy is issued. This document or a copy shall be available for inspection at the building at all reasonable times.

A.	Borough: Queens Address: 48-15 11 STREET Building Identification Number (BIN): 4862699	Block Number: 00061 Lot Number(s): 80 Building Type: New	Certificate Type: Temporary Effective Date: 03/12/2009 Expiration Date: 06/10/2009						
	For zoning lot metes & bounds, please see BISWeb								
В.	Construction classification: 1-D	(1968 Code)							
	Building Occupancy Group classification: J2	(1968 Code)							
	Multiple Dwelling Law Classification: HAEA								
	No. of stories: 12 Height in	feet: 125	No. of dwelling units: 72						
c.	Fire Protection Equipment: None associated with this filing.								
D.	Type and number of open spaces: Parking spaces (37), Parking (14403 square feet)								
E.	This Certificate is issued with the following legal limitations: None								
	Outstanding requirements for obtaining Final Certific								
	There are 24 outstanding requirements. Please refer to B	ISWeb for further detail.							
	Borough Comments: None								

Borough Commissioner

Commissioner

DOCUMENT CONTINUES ON NEXT PAGE



# Certificate of Occupancy

Page 2 of 3

CO Number:

402437065T001

Permissible Use and Occupancy						
All Building Code occupancy group designations are 1968 designations, except RES, COM, or PUB which are 1938 Building Code occupancy group designations.						
Floor From To	Maximum persons permitted	Live load lbs per	Building Code occupancy group	Dwelling or Rooming Units	Zoning use group	Description of use
CEL			J-2		2B	ACCESSORY (22) PARKING SPACES
CEL	- Annual Property Company Company		D-2	, as been been at the control of the	2B	MECHANICAL ROOM
CEL		OG	B-2		2В	TENANT STORAGE,
OSP		100	J-2		28	OUTSIDE RECREATION AREA
001	-	40	J-2		28	ACCESSORY (15) PARKING SPACES
001	····	40	J-2	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	2B	ACCESSORY EXERCISE ROOM
001		40	J-2	· · · · · · · · · · · · · · · · · · ·	2B	LOBBY, LOUNGE
002		40	J-2	7	2	(7) CLASS 'A' APARTMENTS
003	· · · · · · · · · · · · · · · · · · ·	40	J-2	<del></del>	2	(7) CLASS 'A' APARTMENTS
004		40	J-2	7	2	(7) CLASS 'A' APARTMENTS
005	,	40	J-2	7	2	(7) CLASS 'A' APARTMENTS
006		40	J-2	7	2	(7) CLASS 'A' APARTMENTS
007		40	J-2	7	2	(7) CLASS 'A' APARTMENTS
_						

Borough Commissioner

Commissioner

DOCUMENT CONTINUES ON NEXT PAGE



# Certificate of Occupancy

Page 3 of 3

CO Number:

402437065T001

Permissible Use and Occupancy  All Building Code occupancy group designations are 1968 designations, except RES, COM, or PUB which are 1938 Building Code occupancy group designations.						
						Floor From To
008	4	40	J-2	6	2	(6) CLASS 'A' APARTMENTS
009	iere antjanen erina ar y'i beren vere vere vere vere vere	40	J-2	6	2	(6) CLASS A APTS.
010		40	J-2	6	2	(6) CLASS A APTS.
011		40	J-2	6	2	(6) CLASS A APTS.
012		40	J-2	6	2	(6) CLASS A APTS.
ROF	31	100	J-2		2	TENANT RECREATION SPACE (15 CABANAS) 31 MAX OCCUPANTS.
ROF	nan sunan era um anan a Yadin da.	مانده . مد مغهرين و ارجاز شا <mark>لسيد بالا</mark> والاح				MECHANICAL & ELEVATOR ROOMS
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Borough Commissioner

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Commissioner

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Ex 5

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Job No: 402437065

B-SCAN List of Required Items

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(\*) N = Can be received by clerical staff; T = Can only be received by a plan examiner

Some items may not be required for your specific work filing.

Enter Criteria for Required Item Display

Display Items Required Prior To: Which Items Should Be Displayed?

☑ Approvai

✓ Permit

✓ Signoff

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If you have any questions please review these <u>Frequently Asked Questions</u>, the <u>Glossan,</u> or call the 311 Citizen Service Center by dialing 311 or (212) NEW YORK outside of New York City.

http://a810-bisweb.nyc.gov/bisweb/BScanltemsRequiredServlet?requestid=4&rqidpriortoa=on&rqidpriortop=on&rqidpriortos=o...

4/8/2009

Ex 6.



### ARCHITECTURE + URBAN PLANNING + ENGINEERING

# Certification of Sponsor's Architect

March 5, 2009

New York State Department of Law Investment Protection Bureau Real Estate Financing Section 120 Broadway, 23<sup>rd</sup> Floor New York, New York 10271

Re: One Hunters Point Condominium (the "Condominium")
5-49 Borden Avenue, Long Island City, New York (the "Property")
CD07-0082

To Whom It May Concern:

I, Mitchell D. Newman, am an Architect licensed to practice in the State of New York. This firm prepared a report dated January 16, 2007 describing the construction of the Property in connection with the submission of an offering for the sale of condominium units (the "Plan") by Borden East River Realty LLC ("Sponsor") which Plan was accepted for filing by the Department of Law on September 11, 2007.

We understand that this firm is responsible for complying with Article 23-A of the General Business Law and the regulations promulgated by the Department of Law in part 20 of Title 13 NYCRR.

The Sponsor has advised that the first residential unit closing has taken place prior to the issuance of a permanent certificate of occupancy. Pursuant to the requirements of Section 20.3(t)(12) of Title 13 NYCRR, Sponsor will need to deposit with the escrow agent the sum of \$\frac{300,000}{}, which we estimate to be the amount reasonably needed to complete the outstanding work required to obtain a permanent certificate of occupancy more specifically [attach schedule describing work to be done and cost].

210 WEST ROGUES PATH • COLD SPRING HILLS, NY 11743 • TEL; 681.673.9111 • FAX; 681.673.2031 www.ndarchitects.com



We certify that this letter:

- 1. Sets forth the required work to be completed to obtain a permanent certificate of occupancy.
- 2. Provides potential purchasers and current unit owners an adequate basis upon which to found their judgment concerning the condition of the Property as it will exist upon receipt of the permanent certificate of occupancy;
  - 3. Does not omit any material facts;
  - 4. Does not contain any fraud, deception, concealment or suppression;
- 5. Does not contain any promise or representation as to the future which is beyond reasonable explanation or unwarranted by existing circumstances; and
- 6. Does not contain any representation or statement which is false where we (i) knew the truth; (b) with reasonable effort could have known the truth; (c) made no reasonable effort to ascertain the truth; (d) did not have knowledge concerning the representation or statement made.

We further certify that we are not owned or controlled by and have no beneficial interest in the Sponsor and that our compensation for preparing this letter is not contingent on the Condominium receiving the permanent certificate of occupancy or on the profitability of the Sponsor. This letter is not intended as a guarantee or warranty of the total cost to obtain the permanent certificate of occupancy.

The Spel

By: Mitchell D. Newman, A.I.A

President

Sworn to before me this 5<sup>th</sup> of March 2009

Elisa Spada

Notary Public

ELISA SPADA

NOTARY PUBLIC-STATE OF NEW YORK

No. 018P6137227

My Commission Expires November 21, 200



Date: March 5, 2009

RE: 5-49 Borden Avenue, Long Island City, NY

The following is the schedule of work to be completed for the above referenced project:

<ul> <li>Balcony/Terrace Glass Railings</li> </ul>	\$20,000
9th Floor Terrace Waterproofing	20,000
Interior Frame/Doors	5,000
Painting	25,000
Signage/Directory	20,000
Privacy Screens	10,000
· · · · · · · · · · · · · · · · · · ·	30,000
Lobby     Bathroom Mirrors	5,000
	25,000
<ul><li>Plumbing (Misc.)</li><li>HVAC (Start-Up/Balancing/Misc.)</li></ul>	25,000
Flectrical	25,000
Close-Out Paperwork	41,250
	35,000
<ul> <li>Canopy</li> <li>Completion of Builders Pavement Plan</li> <li>&amp; Planting of Street Trees</li> </ul>	0
(\$78,200 in separate escrow account	
by Sponsor with DOT/NYC Parks Dept.)	•
	13,750
<ul> <li>Miscellaneous</li> </ul>	
ΤΟΤΔΙ	\$300,000



## ARCHITECTURE . DRBAN PLANNING . ENGINEERING

### Certification of Sponsor's Architect

March 19, 2009

New York State Department of Law Investment Protection Bureau Real Estate Financing Section 120 Broadway, 23<sup>rd</sup> Floor New York, New York 10271

Re: Hunters View Condominium (the "Condominium")

48-15 11th Street; Long Island City, New York 11101 (the "Property")

CD07-0240

### To Whom It May Concern:

I, Mitchell D. Newman, am an Architect licensed to practice in the State of New York. This firm prepared a report dated March 15, 2007 describing the construction of the Property in connection with the submission of an offering for the sale of condominium units (the "Plan") by 11/49 Realty LLC ("Sponsor") which Plan was accepted for filing by the Department of Law on September 11, 2007.

We understand that this firm is responsible for complying with Article 23-A of the General Business Law and the regulations promulgated by the Department of Law in part 20 of Title 13 NYCRR.

The Sponsor has advised that the first residential unit closing has taken place prior to the issuance of a permanent certificate of occupancy. Pursuant to the requirements of Section 20.3(t)(12) of Title 13 NYCRR, Sponsor will need to deposit with the escrow agent the sum of \$\frac{\$110,000}{}\$, which we estimate to be the amount reasonably needed to complete the outstanding work required to obtain a permanent certificate of occupancy more specifically [attach schedule describing work to be done and cost].



We certify that this letter:

- 1. Sets forth the required work to be completed to obtain a permanent certificate of occupancy.
- 2. Provides potential purchasers and current unit owners an adequate basis upon which to found their judgment concerning the condition of the Property as it will exist upon receipt of the permanent certificate of occupancy;
  - 3. Does not omit any material facts;
  - 4. Does not contain any fraud, deception, concealment or suppression;
- 5. Does not contain any promise or representation as to the future which is beyond reasonable explanation or unwarranted by existing circumstances; and
- 6. Does not contain any representation or statement which is false where we (i) knew the truth; (b) with reasonable effort could have known the truth; (c) made no reasonable effort to ascertain the truth; (d) did not have knowledge concerning the representation or statement made.

We further certify that we are not owned or controlled by and have no beneficial interest in the Sponsor and that our compensation for preparing this letter is not contingent on the Condominium receiving the permanent certificate of occupancy or on the profitability of the Sponsor. This letter is not intended as a guarantee or warranty of the total cost to obtain the permanent certificate of occupancy.

NDG Architect, P.C

Mitchell D. Newman, A.I.A., President

Sworn to before me this 19th of March 2009

Elisa Spada

Notary Public

NOTARY PUBLIC-STATE OF NEW YORK No. 015P6137227

Qualified in Nassau County

My Commission Expires November 21, 2009



Date: March 19, 2009

RE: 48-15 11th Street, Long Island City, NY

The following is the schedule of work to be completed for the above referenced project:

TOTAL	\$110,000
Miscellaneous	10,000
by Sponsor with DOT/NYC Parks Dept.)	
(\$48,128 in separate escrow account	
& Planting of Street Trees	
Completion of Builders Pavement Plan	0
Canopy	20,000
Close-Out Paperwork	•
Electrical (Misc.)	30,000
	15,000
HVAC (Start-Up/Balancing/Misc.)	10,000
Plumbing (Misc.)	10,000
Signage	10,000
Painting Touch-Up	5,000